

CERTIFICATION OF COMPLIANCE

Clean Air Act and Federal Water Pollution Control Act

The undersigned certifies that:

- 1) it is an applicant for financial assistance from Headwaters Regional Finance Corporation (HRFC)
- 2) as such, those facilities under its ownership, lease or supervision which will be utilized in the accomplishment of the project noted above are not listed on the Environmental Protection Agency's (EPA) list of violating facilities, pursuant to Section 15.20 of 40 CFR, Part 15, and
- 3) it will notify HRFC of receipt of any communication from the Director of the EPA office of federal activities indicating that facility to be utilized in the project is under consideration for listing of violating facilities.

Non-Relocation

The undersigned certifies that: it is not the intention of said company, or any affiliate, subsidiary or business entity under direct, indirect or common control with said company to relocate any present operation as a result of the above designated project; that neither said company, nor any affiliate, subsidiary, or other business entity under direct, indirect or common control with said company, has discontinued, liquidated, or curtailed during the past 24 months any production unit similar to that which will be located at (city) _____, (state) _____; that to extend said project is undertaken to assist in the expansion of the operations of said company through the establishments of a new branch, affiliate or subsidiary of said company, such expansion will not result in an increase of unemployment in the area of original location or in any other area where said company or any affiliate, subsidiary, or other business entity under direct, indirect, or common control now conducts business operations; that any such expansion is not being undertaken with the intention of closing down or curtailing any existing operations of said company or any affiliate, subsidiary or other business entity under direct, indirect or common control with said company; and that such project is not undertaken with the intention of performing as contractor or subcontractor work theretofore performed by other contractors or subcontractor, the transfer of which would result in the transfer of jobs from one location to another and an increase in unemployment at the previous location of such work.

Location in an Eligible Area

The undersigned certifies that the place of business to receive assistance through this application is located in the eligible Counties of Beltrami, Clearwater, Hubbard, Lake of the Woods, or Mahnommen.

By: _____

Date: _____

Title: _____